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Attorney's Docket No.: 14875-157US1 / C1-A0308P-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kouji Matsushima et al. Art Unit : 1646  
Serial No. : 10/574,045 Examiner : Unknown  
Filed : February 21, 2007 Conf. No. : 9112  
Title : PROTEINS EXPRESSED IN NK CELLS

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form.

Copies of the references are enclosed. A copy of a search report from a related application is also enclosed.

This statement is being filed before the receipt of a first Office Action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 14875-157US1.

Respectfully submitted,

Date

July 20, 2007  
\_\_\_\_\_  
Janis K. Fraser, Ph.D., J.D.  
Reg. No. 34,819  
\_\_\_\_\_  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.S./

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|--|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)   |  | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>14875-157US1 | Application No.<br>10/574,045 |
| <b>Information Disclosure Statement</b><br><b>by Applicant</b><br><small>(Use several sheets if necessary)</small><br><small>(37 CFR §1.98(b))</small> |  | <b>Applicant</b><br><b>Kouji Matsushima et al.</b>         |                                       |                               |
|  |  | Filing Date<br>February 21, 2007                           | Group Art Unit<br>1646                |                               |

### Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
|                  | AA        | WO 01/49728     | 07/12/2001       | WIPO                     |       |          |             |    |
|                  | AB        | WO 2005/030250  | 04/07/2005       | WIPO                     |       |          |             |    |

### Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
|                  | AC        | Database UniProt [Online] "Cell Surface Glycoprotein gp42 Precursor" XP002433183, Retrieved from EBI Accession No. P23505; (1991)  |
|                  | AD        | Farag <i>et al.</i> , "Natural Killer Cell Receptors: New Biology and Insights into the Graft-Versus-Leukemia Effect," <i>Blood</i> , Vol. 100, No. 6, pp. 1935-1947 (2002)  |
|                  | AE        | Seaman <i>et al.</i> , "Molecular Cloning of gp42, A Cell-Surface Molecule That is Selectively Induced on Rat Natural Killer Cells by Interleukin 2: Glycolipid Membrane Anchoring and Capacity for Transmembrane Signaling," <i>The Journal of Experimental Medicine</i> , Vol. 173, pp. 251-260 (1991) |

|   |                               |
|---|-------------------------------|
| Examiner Signature<br><i>Zachary Skelding</i> | Date Considered<br>06/20/2010 |
|---|-------------------------------|

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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